

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew C. Gilbert, et al.  
Serial No.: 09/851,848 Examiner: Akintola, Olabode  
Filed: May 9, 2001 Group Art Unit: 3624  
Title: **SYSTEMS AND METHODS FOR CONTROLLING  
TRADERS FROM MANIPULATING ELECTRONIC  
TRADING MARKETS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

This paper is being submitted in response to the Office Action in the present application dated September 25, 2006.

**Amendment to the Claims** begin on page 2.

**Remarks** begin on page 7.